SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

	ess of Reporting Per LLO PATRIC		2. Issuer Name and Ticker or Trading Symbol <u>Compass Diversified Holdings</u> [CODI]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title V Other (specify
(Last) 301 RIVERSII SECOND FLO	1 RIVERSIDE AVENUE		3. Date of Earliest Transaction (Month/Day/Year) 03/11/2020	below) See Remarks (a)
(Street) WESTPORT	СТ	06880	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person
(City)	(State)	(Zip)		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(1130. 4)	(1150.4)	
Common Shares ⁽¹⁾	03/11/2020		Р		5,000	Α	\$16.9	165,768.2335	D		
Series B Preferred ⁽²⁾	03/11/2020		Р		4,000	A	\$20.4095 ⁽³⁾	4,000	D		
Series C Preferred ⁽⁴⁾	03/11/2020		Р		3,000	A	\$20.5187(5)	3,000	D		
Series A Preferred ⁽⁶⁾	03/12/2020		Р		3,000	A	\$15.6	3,000	D		
Series B Preferred ⁽²⁾	03/12/2020		Р		1,231	A	\$17.6768(7)	5,231	D		
Series C Preferred ⁽⁴⁾	03/12/2020		Р		1,845	A	\$17.1873(8)	4,845	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Each Common Share represents one undivided beneficial interest in Compass Diversified Holdings (the "Trust") property and corresponds to one underlying trust common interest of Compass Group Diversified Holdings LLC (the "Company") held by the Trust.

2. Each Series B Preferred Share represents one undivided beneficial interest in the Trust property and corresponds to one underlying Series B trust preferred interest of the Company held by the Trust. 3. The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$20.30 to \$20.457, inclusive. Full information regarding the number of shares purchased at each separate price will be provided upon request by the SEC staff, the issuer, or any security holder of the issuer.

4. Each Series C Preferred Share represents one undivided beneficial interest in the Trust property and corresponds to one underlying Series C trust preferred interest of the Company held by the Trust. 5. The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$20.51 to \$20.526, inclusive. Full information regarding the number of shares purchased at each separate price will be provided upon request by the SEC staff, the issuer, or any security holder of the issuer.

6. Each Series A Preferred Share represents one undivided beneficial interest in the Trust property and corresponds to one underlying Series A trust preferred interest of the Company held by the Trust. 7. The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$17.02 to \$17.80, inclusive. Full information regarding the number of shares purchased at each separate price will be provided upon request by the SEC staff, the issuer, or any security holder of the issuer.

8. The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$16.20 to \$17.50, inclusive. Full information regarding the number of shares purchased at each separate price will be provided upon request by the SEC staff, the issuer, or any security holder of the issuer.

Remarks:

(a) Mr. Maciariello is an Assistant Secretary of Compass Group Diversified Holdings LLC, Sponsor of the Trust.

/s/ Patrick A. Maciariello, by Carrie W. Ryan and Ryan J. Faulkingham as attorneys-infact

03/13/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \star If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.