SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287									
Estimated average burden										
hours per response:	0.5									

		Table I - Non-De	erivative Securities Acquired, Disposed of, or Ben	eficially Owned		
(City)	(State)	(Zip)	—	Form filed by More than One Reporting Person		
(Street) WESTPORT	СТ	06880		6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person		
301 RIVERSIDE AVENUE SECOND FLOOR			01/04/2021 4. If Amendment, Date of Original Filed (Month/Day/Year)	See Remark (a)		
(Last)	(First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year)	Officer (give title X Other (specify below)		
1. Name and Addr McCoy Sara	1 0	Person*	2. Issuer Name and Ticker or Trading Symbol <u>Compass Diversified Holdings</u> [CODI]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner		
Instruction 1(b)).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 19 or Section 30(h) of the Investment Company Act of 1940			
			Filed summer to Desting 10(c) of the Description Functions Act of 10	nours per response: 0.5		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported Transaction(S) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price			
Common Shares ⁽¹⁾	01/04/2021		Р		5,079	Α	\$19.6157 ⁽²⁾	21,182	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	ve Conversion Date Execution Date, Transact or Exercise (Month/Day/Year) if any Code (In					6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Each Common Share represents one undivided beneficial interest in Compass Diversified Holdings (the "Trust") property and corresponds to one underlying trust common interest of Compass Group Diversified Holdings LLC (the "Company") held by the Trust.

2. The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$19.40 to \$19.90, inclusive. Full information regarding the number of shares purchased at each separate price will be provided upon request by the SEC staff, the issuer, or any security holder of the issuer.

Remarks:

(a) Ms. McCoy is a Director for the Company, Sponsor of the Trust.

/s/ Sarah Gaines McCoy, by Carrie W. Ryan and Ryan J. 01/05/2021 Faulkingham as attorneys-in-<u>fact</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See ٦

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